Docket No.

217494US8/rm

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Sadayoshi KANEMARU, et al.

(SERIAL NO:

10/015,627

GAU:

2881

FILED:

December 17, 2001

**EXAMINER:** 

FOR:

SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR LASER MODULE, FABRICATION METHOD THEREOF

AND AMPLIFIER FOR OPTICAL FIBER

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.9

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

CID.

Applicant(s) wish to disclose the following information.

### REFERENCES

The applicant(s) wish to make of record the references cited in the attached International Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)  FORM PTO 1449  (Modified)  PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 217494US8		SERIAL NO. 10/015,627			
				APPLICANT				
LIST OF	REFE	RENCES CITED BY API	PLICANT	Sadayoshi KANEMARU, et al.				
				FILING DATE		GROUP		
				December 17, 2001		2881		
				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB FILING DATE CLASS IF APPROPRIATE		
	AA	6,228,670	05/08/2001	K. KUDO				
	AB	6,104,741	08/15/2000	K. IGARASHI, et al.				
	AC	5,533,152	07/02/96	D. KESSLER				
	AD							
	AE							
	AF							
	AG							
	АН							
	Al							
	AJ				-			
	AK				L			
			FO	REIGN PATENT DOCUMENTS	_			
		DOCUMENT · NUMBER	DATE	COUNTRY			TRANSLATION YES NO	
	AL	0 952 470	10/27/99	EUROPE (submitting corr US 6,228,676 JP 2000-12952 only)	0 and			
	AM	2000-12952	01/14/2000	JAPAN				X
	AN	7-193322	07/28/95	JAPAN (with English Abstract)				X
	AO	8-167756	06/25/96	JAPAN (with English Abstract)				X
	AP	0 867 991	03/26/98	EUROPE (submitting corr US 6,104,74 JP 10-325941 only)	1 and			
	AQ	10-325941	12/08/98	JAPAN				×
	AR	8-338931	12/24/96	JAPAN (corr to US 5,533,152)				
	AS	60-76707	05/01/85	JAPAN (with English Abstract)				X
	AT	56-83701	07/08/81	JAPAN (with English Abstract)				X
	AU	57-129409	08/11/82	JAPAN (with English Abstract)				X
	AV 53-63846 05/30/78 JAPAN (with partial English translation) X							^
		OTHER RE	FERENCES (	Including Author, Title, Date, Pertinent	Pages, e	tc.)		
	ΑŴ							
	AX							
	AY							
	AZ				Additional References sheet(s) attached			
Examiner			Date Considered					
*Examiner: In	itial if r	eference is considered,	whether or no	t citation is in conformance with MPEP 60	l 09; Draw li	ne through	citation	if not in